



Alpha Genesis, Inc.
95 Castle Hall Road
Yemassee, SC 29945

CITATION AND NOTIFICATION OF PENALTY

We believe that you violated the Animal Welfare Act (7 U.S.C. § 2131 et seq.) (AWA), as described below.

Date of Violation: December 22, 2014

9 C.F.R. § 2.131(b)(1) Handling of animals.

(b)(1) Handling of all animals shall be done as expeditiously and carefully as possible in a manner that does not cause trauma, overheating, excessive cooling, behavioral stress, physical harm, or unnecessary discomfort.

You failed to handle animals as expeditiously and carefully as possible in a manner that did not cause trauma, behavioral stress, physical harm or unnecessary discomfort. Two employees failed to follow protocols and 26 cynomolgus macaques escaped from their primary enclosure. The employees also did not follow protocols after the escape. All of the macaques were captured within 48 hours. One macaque was injured on its right hand; it had skin lacerations and one digit was severed and required amputation.

Date of Violation: December 29, 2014

9 C.F.R. § 2.131(b)(1) Handling of animals.

(b)(1) Handling of all animals shall be done as expeditiously and carefully as possible in a manner that does not cause trauma, overheating, excessive cooling, behavioral stress, physical harm, or unnecessary discomfort.

You failed to handle animals as expeditiously and carefully as possible in a manner that did not cause trauma, behavioral stress, physical harm or unnecessary discomfort. A primate escaped from its transfer cage while being moved to the medical clinic. The primate disappeared into the woods has not been recaptured.

Date of Violation: February 17, 2015

9 C.F.R. § 2.131(b)(1) Handling of animals.

(b)(1) Handling of all animals shall be done as expeditiously and carefully as possible in a manner that does not cause trauma, overheating, excessive cooling, behavioral stress, physical harm, or unnecessary discomfort.

You failed to handle animals as expeditiously and carefully as possible in a manner that did not cause trauma, behavioral stress, physical harm or unnecessary discomfort. A rhesus macaque was found down in its enclosure. Examination by the attending veterinarian (AV) revealed the macaque was suffering from dehydration. The next day another animal was found to be dehydrated and it was discovered the water line supplying the cage rack had been turned off since February 11, 2015 when the cages were power washed. The five remaining animals were taken to the clinic for medical examination and treatment for dehydration. All of the animals survived.

Date of Violation: June 1, 2015

9 C.F.R. § 3.80(a)(2)(iii) Primary enclosures.

Primary enclosures for nonhuman primates must meet the following minimum requirements:

- (a) General requirements.
- (2) Primary enclosures must be constructed and maintained so that they:
- (iii) Contain the nonhuman primates securely and prevent accidental opening of the enclosure, including opening by the animal.

You failed to ensure primary enclosures for nonhuman primates were constructed and maintained so that they contain the nonhuman primates securely and prevent accidental opening of the enclosure by the animals. Two cynomolgus macaques escaped from an outdoor, chain link enclosure by breaking the thin wire attaching the fence to the frame. One was captured with bait, but the other needed to be darted. The darted macaque suffered internal injuries which, even though treated, led to its death.

Date of Violation: August 28, 2015

9 C.F.R. § 2.131(b)(1) Handling of animals.

(b)(1) Handling of all animals shall be done as expeditiously and carefully as possible in a manner that does not cause trauma, overheating, excessive cooling, behavioral stress, physical harm, or unnecessary discomfort.

You failed to handle animals as expeditiously and carefully as possible in a manner that did not cause trauma, behavioral stress, physical harm or unnecessary discomfort. A juvenile macaque was removed from its group and treated for trauma. The animal's tattoo was read incorrectly and it was placed into the wrong social group. Fighting in the group was observed later that day and the animal was removed, however, it died of its injuries the next day.

Date of Violation: February 23, 2016



9 C.F.R. § 3.80(a)(2)(iii) Primary enclosures.

Primary enclosures for nonhuman primates must meet the following minimum requirements:

(a) General requirements.

(2) Primary enclosures must be constructed and maintained so that they:

(iii) Contain the nonhuman primates securely and prevent accidental opening of the enclosure, including opening by the animal.

You failed to ensure primary enclosures for nonhuman primates were constructed and maintained so that they contain the nonhuman primates securely and prevent accidental opening of the enclosure by the animals. APHIS and the AV entered Building 4 and observed a female cynomolgus macaque had escaped from its primary enclosure. She was able to escape due to her cage being secured with a clip instead of a lock.

The penalty for the alleged violation(s) described above is \$12,600.