1 2 3 4	<b>LAW OFFICE OF CALVIN CHANG</b> CALVIN CHANG (SBN 277851) 980 – 9 <sup>th</sup> Street, 16 <sup>th</sup> Floor Sacramento, California 95814 Telephone: 916.538.0225 calvin@calvinchanglaw.com	E-FILED 6/26/2018 11:02 AM Clerk of Court Superior Court of CA, County of Santa Clara 18CV330693 Reviewed By: R. Tien
5	Attorneys for Plaintiff JESSE HONG	
6	SUPERIOR COURT OF CALIFORNIA	
/	IN AND FOR THE COUNTY OF SANTA CLARA	
8 9	(Unlimited Jurisdiction)	
9 10		
10	JESSE HONG, an individual,	Case No. 18CV330693
11	Plaintiff,	PLAINTIFF'S COMPLAINT FOR:
13	v.	1) WHISTLEBLOWER RETALIATION (Cal. Lab. Code § 1102.5, et seq.);
14	HUAWEI DEVICE USA Inc., a Texas	2) HARASSMENT, ASSOCIATION,
15	corporation; HUAWEI TECHNOLOGIES	NATIONAL ORIGIN/ANCESTRY
16	USA Inc., a Texas corporation; FUTUREWEI TECHNOLOGIES, INC., a Texas	(Cal. Gov. Code § 12940(h));
17	corporation; SHIAO YANG CHEN, an individual; and DOES 1 through 20,	3) DISCRIMINATION, ASSOCIATION, NATIONAL ORIGIN/ANCESTRY
18	inclusive,	(Cal. Gov. Code § 12940(a));
19	Defendants.	4) RETALIATION
20	Derendunts.	(Cal. Gov. Code § 12940(h));
21		5) FAILURE TO PREVENT DISCRIMINATION (Cal. Gov. Code §12940(k);
22		6) BANE CIVIL RIGHTS ACT
23		(Cal. Civil Code § 52.1, et seq.);
24		7) UNFAIR COMPETITION
25		(Bus & Prof. Code § 17200, et seq.);
26		
27		JURY TRIAL DEMANDED
28	-:	1 -
	PLAINTIFF'S COMPLAINT FOR WHISTLEBLOWER RETALIATION	

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1. This is a whistleblower retaliation case. Plaintiff was employed as a Senior 2 Architect for Huawei's subsidiaries in California. Plaintiff's refused to participate in, 3 complained, and reported Huawei's continuing engagement in enterprise espionage. Plaintiff 4 also refused Huawei's direction that he infiltrate Facebook under an assumed U.S. company 5 name. Plaintiff was aware that Huawei would pass the information onto the parent company in 6 China. Huawei fed the information gathered from U.S. competitors' and transmitted the 7 information to product development, strategies teams and executives in China. Plaintiff also 8 reported the illegal acts to the Huawei's Human Resources department. Shortly thereafter, 9 Plaintiff was "laid off" in retaliation for his complaints.

## JURISDICTION AND VENUE

Pursuant to the California Constitution jurisdiction is proper in the Superior Court
 of California, County of Santa Clara, in the State of California. The jurisdiction of this Court is
 proper for the relief sought herein, and the amount demanded by Plaintiff exceeds the
 jurisdictional minimum of this Court.

3. Venue as to each Defendant is proper in this judicial district, pursuant to the code
of Civil Procedure section 395, et seq. Actions and/or omissions leading to liability in this case
occurred in the County of Santa Clara. Defendant operates, and/or maintains Plaintiff's
personnel records of Plaintiff in the County of Santa Clara.

# PARTIES

4. Plaintiff JESSE HONG ("Plaintiff") is an individual residing in the County of
 Santa Clara, in California. During all relevant times, Plaintiff was employed by Corporate
 Defendants in Santa Clara, County of Santa Clara, California.

Defendant HUAWEI DEVICE USA INC. is a Texas corporation; which does
 business in Santa Clara, County of Santa Clara, State of California. (Hereinafter, "Huawei
 Device").

6. Defendant HUAWEI TECHNOLOGIES USA INC. is a Texas corporation; which
does business in Santa Clara, County of Santa Clara, State of California. (Hereinafter, "Huawei
Technologies").

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- 2 -PLAINTIFF'S COMPLAINT FOR WHISTLEBLOWER RETALIATION 7. Defendant FUTUREWEI TECHNOLOGIES, INC. is a Texas corporation; which
 does business in Santa Clara, County of Santa Clara, State of California. (Hereinafter,
 "Futurewei"). All named corporate defendants will be collectively referred to as "Corporate
 Defendants," except where necessary to distinguish them apart.

8. Defendant SHIAO YANG CHEN, aka Sean Chen ("Chen") is an individual. On information and belief, Chen resides in the County of Santa Clara in California. During all relevant times, was employed by corporate defendants in Santa Clara in California.

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9. All named Defendants will be collectively referred to as "Defendants," except
8 where necessary to distinguish them apart.

9 10. At all times herein mentioned, Defendants, whether specifically identified or
10 designated herein as a DOE, and each of them, were the agents, employees, servants, partners,
11 independent contractors, joint employer, joint ventures and participants with all other
12 Defendants, and with each other, and in doing the things hereinafter mentioned, were agents,
13 employees, servants, partners, joint ventures, and with the consent and permission of the co14 Defendants, and each of them; or responsible pursuant to Labor Code section 558.1.

15 11. The true names and capacities, whether individual, corporate, associate or
otherwise of the Defendants named herein as DOES 1 through 20, are unknown to Plaintiff at
this time. Plaintiff therefore sues said Defendants by such fictitious names pursuant to section
474 of the California Code of Civil Procedure. Plaintiff will seek leave to amend this Complaint
to allege the true names and capacities of DOES 1 through 20 when their names are ascertained.
Plaintiff is informed and believes, and based thereon alleges, that each of the DOE Defendants is
in some manner liable to the Plaintiff for the events and actions alleged herein.

Plaintiff is informed and believes, and thereon alleges, that at all times, each
 Defendant was acting as an agent for each of the other Defendants and each were co-conspirators
 with respect to the acts and the wrongful conduct alleged herein so that each is responsible for
 the acts of the other in connection with the conspiracy in such wrongful acts in connection with
 the other Defendants.

# **EXHAUSTION OF ADMINISTRATIVE REMEDIES**

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13. Plaintiff timely exhausted his administrative remedies with the California

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Department of Fair Employment and Housing ("DFEH") on June 3, 2018, when he filed against
all Defendants, his complaints for Harassment, Discrimination, Retaliation, Failure to Prevent
Discrimination. On June 3, 2018, the DFEH issued Plaintiff a Right-to-Sue Notice, (DFEH
Number 201806-02471403). The Complaints were served on Defendants. Pursuant to
Plaintiff's Right-to-Sue Letter, Plaintiff has one year from the date of the DFEH Right-to-Sue
Letter to file a complaint..

## FACTUAL ALLEGATIONS

14. During relevant times Plaintiff Jesse Hong was employed by corporate defendants. The parent company of corporate defendants is Huawei, a Chinese conglomerate that owns the corporate defendants and is one of the largest corporations by revenue in China with USD \$93B annual revenue. Huawei has been identified by U.S. intelligence officials as a national security risk because of its alleged ties to the Chinese government.

12 15. On or about May 2014, Plaintiff began his employment as a Principal Architect at
13 Futurewei Technologies, Inc., 2330 Central Expressway, Santa Clara, CA 95050. He was
14 previously employed by contract for six months.

15 16. Beginning in November 2013, Plaintiff was assigned to the Software Business
16 Unit.

17. In or about July 2015, Plaintiff's co-worker, Peng, left Manager Sean Chen's team
 after complaining to Human Resources ("HR") about harassment.

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18. In or about July 2015, Plaintiff joined the Wireless BU under Chen.

In or about January 2016, Plaintiff's co-worker, Tom, left Chen's team,
 complaining to HR about workplace harassment. Plaintiff assisted Chen to hire two other
 employees, Sam and Ming, who were then perceived by Chen to be associated with Plaintiff.

22 20. In or about March 2016, Joseph, a contractor, was terminated from Chen's team,
 23 and also complained to HR about harassment.

24 21. During all relevant times throughout Plaintiff's employment, Chen repeatedly
 25 engaged in a pattern of workplace misconduct, including threatening behavior, shouting
 26 extremely loud with erratic arm gestures, making discriminatory personal attacks (including
 27 making senseless references of employees' family members) and racial slurs, and a wide

spectrum of hostility (including but not limited to micro-scrutinizing bathroom use, commenting
 that having hair means not working hard). The unwelcome conduct was pervasive and
 continuous and created a hostile work environment that interfered with Plaintiff's work.

4 22. In April 2016, Plaintiff received a "B" (meeting expectation) on his 2015
5 performance rating. The other two teammates also were rated with "B." Their project was rated with "A."

23. In April 2017, Plaintiff received an improved "B+" (sometimes exceeding expectation) on his 2016 performance rating. The other two teammates also received a B+. Again, their project was rated "A".

9 24. In April 2017, Sean Chen repeatedly shouted at Sam for months. Sam was
10 emotional and shedding tears in meetings.

11 25. In June 2017, Plaintiff objected to and opposed Chen's racial harassment against
12 him and against Sam. Plaintiff spoke up against the harassment by Chen, which included
13 comments like, "You Indians never deliver," and other abuses by Chen. Chen began shouting at
14 Plaintiff for a period of several weeks. Chen was also aware that Plaintiff was suffering from
15 emotional distress from Chen's harassment.

16 26. In August 2017, Miguel Dajer, Senior Vice President for USA Wireless R&D,
 17 praised Plaintiff for a project he completed.

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 27. In August 2017, Plaintiff observed that Sam used confidential materials from
 other companies.

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 28. In August 2017, Plaintiff received a "C" rating at his half-year review from Chen.
 Yet Plaintiff had received no notice or communication, notes, or individual performance plan.
 Plaintiff perceived this to be retaliation for opposing Chen's harassment.

22 29. On November 1, 2017, Facebook hosted the TIP Summit, at Facebook
23 headquarters in Menlo Park, California. The Summit was attended by U.S. startups (mostly
24 Huawei's competitors). Facebook denied Huawei and its U.S. subsidiaries' (Corporate
25 Defendants) request to attend Facebook's closed-door private meetings with U.S. companies. In
26 order to circumvent the exclusion of Huawei, the corporate defendants through Chen, instructed
27 Plaintiff, Sam, and Ming to register using fake U.S. company names.

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30. Plaintiff believed such conduct was illegal and fraudulent and he refused to engage in such conduct. Huawei then directed Chen and Sam to use a front U.S. company name 2 to register and infiltrate into the meeting that Facebook had banned it from attending. Plaintiff 3 believed that theft of trade secrets and/or transfer of such secrets to Huawei in China, was illegal 4 and constituted misconduct against official company training and policies. 5

31. During all relevant times, in addition to refusing to participate in an illegal order, Plaintiff reported this misconduct to at least the companies' HR Department.

32. Huawei also generated a TIP Summit report, including TIP competitors' integration plans, and transferred that information to product teams in China (which may violate US export laws.] Sam has used his consulting work with IOpipe, CloudGenix, and Galactic Fog 10 to obtain confidential information. Sam presented that information to the BU, and used the documents with Chen and Ming behind closed door, excluding Plaintiff - who had objected to 12 such practices.

13 33. In November 2017, Plaintiff sought to remove himself from the hostile work environment and because of his legitimate concern that he would be subject to further retaliation 14 for his refusal to join the conspiracy to engage in unlawful practices. He requested to transfer to 15 Software BU and spoke to Futurewei President Jim Zhu. Yet in December 2017, Plaintiff's 16 transfer request was denied without explanation. 17

34. In February 2018, Plaintiff observed Chen and Sam in possession of competitors' 18 confidential information. Plaintiff raised his observations with Ming. 19

35. In February 2018, Plaintiff prepared a Machine Learning presentation by himself, 20 for Chen to present to Senior Vice President Miguel Dajer. The content was approved glowingly 21 by Chen. Indeed, Machine Learning was the team's 2018 goal and only Sam and Plaintiff had 22 expertise in the subject. Indeed, this is yet another reason, the company did not legitimate reason 23 "lay off" Plaintiff.

24 36. In February 2018, Plaintiff also raised concerns to Ming about Sam having 25 external consulting work and using confidential materials from other competitor companies, 26 including IOpipe, CloudGenix, Galactic Fog. Plaintiff was being cut out from team's technical 27 discussions.

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37. During relevant times, Chen continued to retaliate further by threatening Plaintiff.
Chen made threatening facial expressions and arm gestures, and would repeatedly threaten
Plaintiff's employment with statements including, but not limited to: "If you don't agree on
this, then you quit right now;" "I will put HR on the phone now, and you are terminated;" "I will
call security in, and you are escorted;" "Your pay ends today;" "You don't have to come in
tomorrow. I will pay you till end of next Friday;" "I want your resignation letter;" Plaintiff

38. In February 2018, Plaintiff forwarded Futurewei guidelines to Chen for attending
the ONS Summit on March 26, 2018. Chen emailed back, stating he would not follow the rules
and would take full responsibility.

<sup>10</sup> 39. On March 12, 2018, Wireless BU Executive Assistant, Tracy, sent an email
 <sup>11</sup> message asking if anyone possessed any competitor's confidential information. This was the
 <sup>12</sup> extent of the Huawei's response to Plaintiff's complaint that corporate defendants were
 <sup>13</sup> misappropriating confidential documents from US companies.

40. Instead, on March 15, 2018, Plaintiff was informed he was being "laid off", and
received a layoff severance package from Chen with SVP Miguel Dajer and Alice (they both are
based in NJ) on the phone. The severance package required Plaintiff's consent to a waive his
claims, and to receive seven weeks of salary, plus potential two-month COBRA premium
reimbursement; all amounting to less than his accrued bonus which would have been payable the
following month.

41. On March 15, 2018, before close of business, Plaintiff escalated his retaliation
 complaint to HR Vice President Mary Monfared, and President Jim Zhu. Monfared said she was
 not aware of the complaints or harassment reports against Chen, but promised to investigate.

42. On March 18, 2018, Plaintiff sent second email to Mary Monfared and Jim Zhu,
detailing his achievements (16 of 25 deliverables in 2017 were solely delivered by Plaintiff
alone, and 3+ more partially contributed) and conflict timelines. Plaintiff hoped he could show
them that the layoff was not for any legitimate reason.

26 43. On March 20, 2018, HR Investigator, D. Ray, called Plaintiff about his
27 complaints. On March 27, 2018, D. Ray completed the complaint investigation and sent it to HR

VP, Mary Monfared. The report was not disclosed to Plaintiff. Plaintiff believes it is likely, that
 the employees who engaged in misconduct were not terminated.

3 44. Plaintiff was stripped of his 2017 annual bonus payable in April 2018 and terminated on or about March 30, 2018.

45. As a result of Defendant's unlawful employment practices, Plaintiff has been denied wages and benefits, and suffered harm to his career.

46. As a direct result of the Defendant's unlawful conduct, Plaintiff has also suffered severe emotional distress and physical symptoms such as anxiety, worry, humiliation, anguish, embarrassment, and loss of self-esteem, sleep disruption, hunger, among other conditions.

9 47. As set forth by the factual allegations, and further set forth below, the conduct
 10 listed above was malicious, fraudulent, and/or oppressive, and done with a willful and conscious
 11 disregard for Plaintiff's rights and for the deleterious consequences of Defendants' actions.

## FIRST CAUSE OF ACTION

#### (WHISTLEBLOWER RETAIATION)

(Cal. Labor Code § 1102.5 (a), (b), (c), et seq.)

#### (Against Corporate Defendants)

48. The allegations set forth in this complaint are hereby re-alleged and incorporated by reference.

49. As alleged herein, defendants and each of them, and/or their managerial
 employees/agents/employees knew or reasonably should have known, that employees of
 Defendants, individually and together in varying combinations, were engaging in the conduct set
 forth above.

50. Labor Code section 1102.5, subd. (a), prohibits an employer from making, adopting, or enforcing any rule, regulation, or policy preventing an employee from disclosing information to a government or law enforcement agency, to a person with authority over the employee, or to another employee who has authority to investigate, discover, or correct the violation or noncompliance, where the employee has reasonable cause to believe that the information discloses a violation of state or federal statute, or a violation or noncompliance with a state or federal rule or regulation.

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51. Labor Code section 1102.5, subd. (b), provides that an employer, or any person acting on behalf of the employer, shall not retaliate against an employee for disclosing 2 information, or because the employer believes that the employee disclosed or may disclose 3 information, to a government or law enforcement agency, to a person with authority over the 4 employee or another employee who has the authority to investigate, discover, or correct the 5 violation or noncompliance, or for providing information to, or testifying before, any public body 6 conducting an investigation, hearing, or inquiry, if the employee has reasonable cause to believe 7 that the information discloses a violation of state or federal statute, or a violation of or 8 noncompliance with a local, state, or federal rule or regulation, regardless of whether disclosing 9 the information is part of the employee's job duties.

LAW OFFICE OF CALVIN CHANG 980 – 9<sup>th</sup> Street, 16<sup>th</sup> Floor Santa Clara, California 95814 10 52. Labor Code section 1102.5, subd. (c), provides that an employer, or any person
 11 acting on behalf of the employer, shall not retaliate against an employee for refusing to
 12 participate in an activity that would result in a violation of state or federal statute, or a violation
 13 of or noncompliance with a local, state, or federal rule or regulation.

53. As alleged above, Defendants violated Labor Code section 1102.5, et seq., when 14 it imposed and enforced a rule prohibiting disclosure of violations by ignoring complaints, 15 preventing Plaintiff from testifying, and by terminating Plaintiff in retaliation for his refusal to 16 engaging in unlawful conduct and disclosing such conduct to managers and human resources. 17 By his repeated complaints, Defendants believed that Plaintiff would and/or did report regulatory 18 violations including but not limited to Theft of Trade Secrets, 18 U.S. Code §1383 et seq., and 19 related Economic Espionage violations, that he reasonably believed to be unlawful conduct and 20 practices by Defendants, which violated state regulations identified above; opposed violations; 21 and refused to agree to refrain from doing so. Plaintiff was terminated for these reasons.

<sup>22</sup> 54. Plaintiff is informed and believes that Defendant believed Plaintiff had and would
<sup>23</sup> further disclose, report or testify to a government agency, Defendant's violations of state or
<sup>24</sup> federal statute, or violations or noncompliance with a state or federal rule or regulation. By
<sup>25</sup> taking each action alleged herein, Defendants violated Labor Code section 1102.5, *et seq.*<sup>26</sup> 55. Defendants' discharge of Plaintiff's employment was motivated by unlawful
<sup>27</sup> retaliatory animus because Plaintiff protected activity in part, for disclosing and repeatedly

complaining about engagement in non-compliant, unlawful practices.

56. Plaintiffs protected conduct was a contributing factor for Defendants imposition 2 of adverse employment actions discrimination against Plaintiff. 3

57. As a proximate result of Defendant's actions, and in addition to other damages, Plaintiff is entitled to any penalties available under the Labor Code. 5

58. Defendant's actions were willful, malicious, fraudulent and oppressive, and were 6 committed with the wrongful intent to injure Plaintiffs and in conscious disregard of Plaintiff's rights, which entitles Plaintiff to exemplary damages.

8 59. As a direct and foreseeable result of the aforesaid acts of said Defendants. 9 Plaintiff has lost and will continue to lose income and benefits in an amount to be proven at the 10 time of trial. Plaintiff claims such amount as damages together with pre-judgment interest 11 pursuant to Civil Code section 3287 and/or any other provision of law providing for pre-12 judgment interest.

13 60. Because of the aforesaid acts of Defendants, Plaintiff claims general damages for mental and emotional distress and aggravation in an amount to be proven at the time of trial. 14

# **SECOND CAUSE OF ACTION**

(HARASSMENT, ASSOCIATION, NATIONAL ORIGIN, ANCESTRY)

(Cal. Govt. Code § 12940(j)(1) et. seq.)

# (Against All Defendants)

61. Plaintiff hereby incorporates by reference the foregoing paragraphs, as though 19 fully set forth herein. 20

62. Defendants are employers in the state of California, as defined in the California 21 Fair Employment and Housing Act ("FEHA"). Defendants, and each of them, acted as agents, 22 directly or indirectly, with each other Defendant violating the FEHA and were therefore also 23 employers, or individuals subject to the FEHA, in the state of California, as defined in the 24 FEHA.

25 63. Under California Government Code §12940(j)(1), it is an unlawful for an 26 employer or person to harass an employee because of that person's National Origin and 27 Ancestry, or because of association or perceived association with such a person.

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64. Defendants harassed Plaintiff because of his association or perceived association with a person because of National Origin and Ancestry in violation of the FEHA. 2

65. In engaging in the foregoing conduct, Defendants had knowledge of, aided, abetted, incited, participated in, coerced and/or compelled unlawful employment practices in violation of the FEHA.

66. Defendants violated the FEHA when it subjected Plaintiff, to unwelcome conduct 6 of a hostile nature, including a broad range of conduct by Defendant Chen ranging from creation of a work environment that is hostile or abusive because of his association and perceived 8 association with persons because of their National Origin and Ancestry; and expressly or impliedly conditioning employment benefits on submission to or tolerance of unwelcome 10 conduct. Such a hostile environment was created when plaintiff was subjected to unwelcome conduct and furthered by permitting said conduct and conditions to occur and subjecting Plaintiff 12 to a hostile work environment based on either severe and/or pervasive acts of unwelcome and 13 offensive harassment. These conditions interfered with Plaintiff's working conditions.

67. As a direct and foreseeable result of the aforesaid acts of said Defendants, 14 Plaintiff has lost and will continue to lose income and benefits in an amount to be proven at the 15 time of trial. Plaintiff claims such amount as damages together with pre-judgment interest 16 pursuant to Civil Code section 3287 and/or any other provision of law providing for pre-17 judgment interest. 18

68. As a result of the aforesaid acts of Defendants, Plaintiff claims general damages 19 for mental and emotional distress and aggravation in an amount to be proven at the time of trial. 20

69. The above described acts of Defendants, by and through their managing agents, 21 officers or directors, were engaged in with a deliberate, cold, callous, fraudulent and intentional 22 manner to injure and damage Plaintiff and/or with a conscious disregard of Plaintiff and his 23 rights. The Defendant's conduct described herein was engaged in by managing agents for the 24 Defendant and/or ratified by managing agents. Such acts were despicable, and constitute malice, 25 fraud and/or oppression within the meaning of Civil Code section 3294. Plaintiff requests an 26 assessment of punitive damages against Defendants, in an amount to be proven at time of trial. 70. 27 Plaintiff will also seek and is entitled to recover attorney's fees and costs,

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including expert witness fees, pursuant to Government Code section 12965(b), et seq. 1

## THIRD CAUSE OF ACTION

#### (DISCRIMINATION, ASSOCIATION, NATIONAL ORIGIN, ANCESTRY)

(Cal. Govt. Code § 12940(a) et. seq.)

#### (Against Corporate Defendants)

71. Plaintiff hereby incorporates by reference the foregoing paragraphs, as though fully set forth herein.

72. Defendants are employers in the state of California, as defined in the California 8 Fair Employment and Housing Act ("FEHA"). Defendants, and each of them, acted as agents, directly or indirectly, of each other Defendant, violating the FEHA and were therefore also employers, or individuals subject to the FEHA, in the state of California, as defined in the FEHA.

12 73. Pursuant to California Government Code section 12940 subd. (a), it is an unlawful 13 for an employer to discriminate against a person in compensation or in terms of conditions or privileges of employment, because of that person's Association with or Perception of 14 Association with a Person's National Origin or Ancestry.. 15

74. In engaging in the foregoing conduct, Defendants violated the FEHA by 16 subjecting Plaintiff to discrimination because he is male; denying him wages and benefits that he 17 was entitled to under state law based on its unlawful practice of aiding or abetting harassment; 18 imposing disparate treatment based on Association with or Perception of Association with a 19 Person's National Origin or Ancestry. in terms or conditions of employment.

20 In engaging in the foregoing conduct, Defendants had knowledge of, aided, 75. 21 abetted, incited, participated in, coerced and/or compelled unlawful employment practices in 22 violation of the FEHA.

23 76. As a direct and foreseeable result of the aforesaid acts of said Defendants, 24 Plaintiff has lost and will continue to lose income and benefits in an amount to be proven at the 25 time of trial. Plaintiff claims such amount as damages together with pre-judgment interest 26 pursuant to Civil Code section 3287 and/or any other provision of law providing for pre-27 judgment interest.

77. As a result of the aforesaid acts of Defendants, Plaintiff claims general damages for mental and emotional distress and aggravation in an amount to be proven at the time of trial. 2 78. The above described acts of Defendants, by and through their managing agents, 3 officers or directors, were engaged in with a deliberate, cold, callous, fraudulent and intentional manner to injure and damage Plaintiff and/or with a conscious disregard of Plaintiff and his 5 rights. The Defendant's conduct described herein was engaged in by managing agents for the 6 Defendant and/or ratified by managing agents. Such acts were despicable, and constitute malice, 7 fraud and/or oppression within the meaning of Civil Code section 3294. Plaintiff requests an 8 assessment of punitive damages against Defendants, in an amount to be proven at time of trial. 9 79. Plaintiff will also seek and is entitled to recover attorney's fees and costs, 10 including expert witness fees, pursuant to Government Code section 12965, subd., (b), et seq 11 FOURTH CAUSE OF ACTION 12 (RETALIATION) 13 (Cal. Govt. Code § 12940(h)) (Against Corporate Defendants) 14 80. Plaintiff refers to and herein incorporates each above paragraph inclusively. 15 81. Defendants is an employer in the state of California, as defined in the California 16 Fair Employment and Housing Act ("FEHA"). Defendants, and each of them, acted as agents, 17 directly or indirectly, of Defendants violating the FEHA and were therefore also employers in 18 the State of California, as defined in the FEHA. 19 82. Government Code section 12940 subd. (h), provides that it is unlawful for any 20 employer, or person to discharge, expel, or otherwise discriminate against any person because 21 the person has opposed any practices forbidden under this part or because the person has filed a 22 complaint, testified, or assisted in any proceeding under this part. It is also unlawful to 23 discriminate against a person for requesting a reasonable accommodation for National Origin and 24 Ancestry. 25 83. Defendant has an unlawful policy or practice of terminating employees for 26 opposing, complaining about violations of the FEHA, or participating in proceedings under the FEHA. 27 28- 13 -PLAINTIFF'S COMPLAINT FOR WHISTLEBLOWER RETALIATION

LAW OFFICE OF CALVIN CHANG 980 – 9<sup>th</sup> Street, 16<sup>th</sup> Floor Santa Clara, California 95814 184.Defendants subjected Plaintiff to adverse employment actions and other2discriminatory actions because he engaged in protected activity within the meaning of the FEHA.

385. Plaintiff's protected conduct was a substantial motivating reason for the4Defendants' adverse employment actions against her.

86. As a direct and foreseeable result of the aforesaid acts of said Defendants,
Plaintiff has lost and will continue to lose income and benefits in an amount to be proven at the time of trial.

87. As a result of the aforesaid acts of Defendants, Plaintiff claims general damages for mental and emotional distress and aggravation in an amount to be proven at the time of trial.

9 88. As a result of the aforesaid acts of Defendants, Plaintiff will be required to
10 employ physicians and psychologists to examine, treat her, and will incur additional medical
11 expenses in an amount to be proven at the time of trial.

12 89. The above described acts of Defendants, by and through their managing agents, 13 officers or directors, were engaged in with a deliberate, cold, callous, fraudulent and intentional manner to injure and damage Plaintiff and/or with a conscious disregard of Plaintiff and his 14 rights. The Defendant's conduct described herein was engaged in by managing agents for the 15 Defendant and/or ratified by managing agents. Such acts were despicable, and constitute malice, 16 fraud and/or oppression within the meaning of Civil Code section 3294. Plaintiff requests an 17 assessment of punitive damages against Defendants, in an amount to be proven at time of trial. 18 90. Plaintiff will also seek and is entitled to recover attorney's fees and costs, 19 including expert witness fees, pursuant to Gov. Code section 12965 subd. (b), et seq. 20 **FIFTH CAUSE OF ACTION** 21

# (FAILURE TO PREVENT DISCRIMINATION)

# (Cal. Govt. Code § 12940(k))

# (Against Corporate Defendants)

Plaintiff refers to and herein incorporates each above paragraph inclusively.
Defendants is an employer in the state of California, as defined in the California
Fair Employment and Housing Act ("FEHA"). Defendants, and each of them, acted as agents,
directly or indirectly, of Defendants violating the FEHA and were therefore also employers in

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the state of California, as defined in the FEHA. 1

93. Defendants failed to take all reasonable steps necessary to prevent harassment, 2 discrimination and retaliation from occurring. Defendant does not have appropriate policies, 3 procedures, practices, guidelines, rules, and/or trainings, commitment, or intention, regarding the 4 prevention of discrimination, harassment, or retaliation in the workplace. 5

94. In engaging in the foregoing conduct, Defendants aided, abetted, incited, 6 participated in, coerced and/or compelled unlawful employment practices in violation of the FEHA.

8 95. As a direct and foreseeable result of the aforesaid acts of said Defendants, 9 Plaintiff has lost and will continue to lose income and benefits in an amount to be proven at the 10 time of trial.

11 96. As a result of the aforesaid acts of Defendants, Plaintiff claims general damages 12 for mental and emotional distress and aggravation in an amount to be proven at the time of trial. 13 97. The above described acts of Defendants, by and through their managing agents, officers or directors, were engaged in with a deliberate, cold, callous, fraudulent and intentional 14 manner to injure and damage Plaintiff and/or with a conscious disregard of Plaintiff and his 15 rights. The Defendant's conduct described herein was engaged in by managing agents for the 16 Defendant and/or ratified by managing agents. Such acts were despicable, and constitute malice, 17 fraud and/or oppression within the meaning of Civil Code section 3294. Plaintiff requests an 18 assessment of punitive damages against Defendants, in an amount to be proven at time of trial. 19 98. Plaintiff will also seek and is entitled to recover attorney's fees and costs, 20 including expert witness fees, pursuant to Gov. Code section 12965(b), et seq. 21 SIXTH CAUSE OF ACTION 22 (BANE CIVIL RIGHTS ACT) 23 (Cal. Govt. Code § 52.1, *et. seq.*) 24 (Against Corporate Defendants) 25

99. Plaintiff hereby incorporates by reference the foregoing paragraphs, as though fully set forth herein.

100. During all relevant times, Defendants Chen and Corporate Defendants

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engaged in unlawful conduct that threatened and interfered with Plaintiff's statutory and
constitutional rights to under the California Constitution, property and employment, protected
rights under the California Fair Employment and Housing Act and California Labor Code, as
defined in the Bane Civil Rights Act ("Bane Act").

101. California Civil Code section 52.1, subd. (a), prohibits a person or persons, whether or not acting under color of law, interferes by threat, intimidation, or coercion, or attempts to interfere by threat, intimidation, or coercion, with the exercise or enjoyment by any individual or individuals of rights secured by the Constitution or laws of the United States, or of the rights secured by the Constitution or laws of this state.

9 102. Defendants, and each of them, owed a duty and legal obligation to refrain from
 interfering with Plaintiff's rights under the Fair Employment and Housing Act guaranteed to all
 persons, regardless of their actual or perceived protected basis. Defendants, and each of them,
 acted as agents, directly or indirectly, of Defendants violating Plaintiff's statutory and
 constitutional rights and were therefore also entities liable under the Bane Act.

14 103. In engaging in the foregoing conduct, Defendants aided, abetted, incited, and
15 participated in, coerced and/or compelled unlawful acts in violation of the Bane Act

16 104. As a direct and foreseeable result of the aforesaid acts of said Defendants,
 Plaintiff has been made to incur expenses and lost benefits she was entitled in an amount to be
 proven at the time of trial. Plaintiff claims such amount as damages together with pre-judgment
 interest pursuant to Civil Code section 3287 and/or any other provision of law providing for pre-judgment interest.

105. As a result of the aforesaid acts of Defendants, Plaintiff claims general damages,
 lost wages and earnings, for mental and emotional distress and aggravation in an amount to be
 proven at the time of trial.

106. The above described acts of Defendants, by and through their managing agents,
 officers or directors, were engaged in with a deliberate, cold, callous, fraudulent and intentional
 manner in order to injure and damage Plaintiff and/or with a conscious disregard of Plaintiff and
 his rights. Such acts were despicable, and constitute malice, fraud and/or oppression within the
 meaning of Civil Code section 3294. Plaintiff requests an assessment of punitive damages

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1 against Defendants, in an amount to be proven at time of trial.

2 107. Pursuant to Civil Code section 52.1, subsection (a), Plaintiff is entitled to a civil
 3 penalty of \$25,000 for each of Defendants' violations of the Bane Act.

108. Plaintiff will also seek and is entitled to recover attorney's fees pursuant to Civil Code section 52.1, subd. (h), *et seq.* 

## SEVENTH CAUSE OF ACTION

## (UNFAIR COMPETITION)

#### (Cal. Business & Professions Code § 17200, et seq.)

## (Against Corporate Defendants)

9 109. The allegations set forth in this complaint are hereby re-alleged and
10 incorporated by reference.

11 110. As alleged herein, the business practices of Corporate Defendants constitute
 12 unlawful, unfair or fraudulent business practices and offend public policy in that they violate the
 13 provisions of Labor Code, Government Code, and United States Codes and other statutes set
 14 forth herein.

15 111. Corporate Defendants is subject to suit under Business and Professions Code
16 section 17201, and 17200 *et seq.*

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 112. Defendants develop and produce technology produces to business and consumers
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113. Plaintiff is informed and believes that during the relevant times so stated in this
 complaint, Defendants have intentionally and unlawfully failed to comply with California Labor
 Code, Fair Employment and Housing Act, and United States Codes.

21 114. During all relevant times that by Defendants unlawful conduct, it participated and
 22 benefited from violation of California statutes and US Codes – unlawfully and unfairly engaging
 23 in the aforementioned conduct making expensive, burdensome, and unfair for consumers, the
 24 State, and litigants, including Plaintiff.

25 115. Plaintiff is informed and believes that during the relevant times so stated in this
26 complaint, Defendants have intentionally, unfairly, and unlawfully violated California

- 27 Government Code, California Labor Code section 1102.5, et seq, and wrongfully terminated his
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|| employment in violation of public policy, when it terminated Plaintiff's employment.

116. Defendants' acts constitute a continuing and ongoing unfair and unlawful activity
prohibited by Business and Professions Code sections 17200, et seq., and justify the issuance of
an injunction, restitution and other equitable relief pursuant to Business and Professions Code
section 17203.

117. As set forth below, Plaintiff is informed and believes that by refusing to comply with California statutes set forth in this complaint, Defendants have engaged in business within the State of California to sell its services at less than cost as set forth and defined in Business and Professions Code section 17029 for the purpose of injuring competitors and/or gaining unfair advantage, and impairing Plaintiff and the public's ability to bring actions to enforce the above stated laws without undue expense, in violation of Business and Professions Code sections 17200 et seq., as set forth above.

12 118. The victims of these unfair, fraudulent and/or illegal business practices include,
13 but are not limited to, the Plaintiff, but applies to any competitor and/or claimant seeking redress
14 for violations of California law. Plaintiff is informed and believes and thereon alleges that
15 Corporate Defendants performed the above-mentioned acts with the intent of gaining an unfair
16 competitive advantage and thereby injuring Plaintiff, competitors, and the general public.

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 119. As a proximate result of Defendant's actions, Plaintiff has suffered and continues
 to suffer damages in the form of expenses and costs to bring this action against Corporate
 Defendants in an amount according to proof, and/or seeks restitution for such damages.

Plaintiffs request attorneys' fees and costs pursuant to Business and Professions
 Code, §§17200 et seq., and Civ. Code §1021.5.

#### <u>PRAYER</u>

Plaintiff prays for judgment against defendants as follows:

1. For special, general, and compensatory damages, including but not limited to lost wages, benefits, and front pay, in an amount of no less than \$5,000,000.00, or according to proof at trial;

 For statutory attorney's fees and costs pursuant to Government Code §12965(b), Civil Code §§ 52.1(h), 1021.5, and pursuant all applicable statutory provisions;

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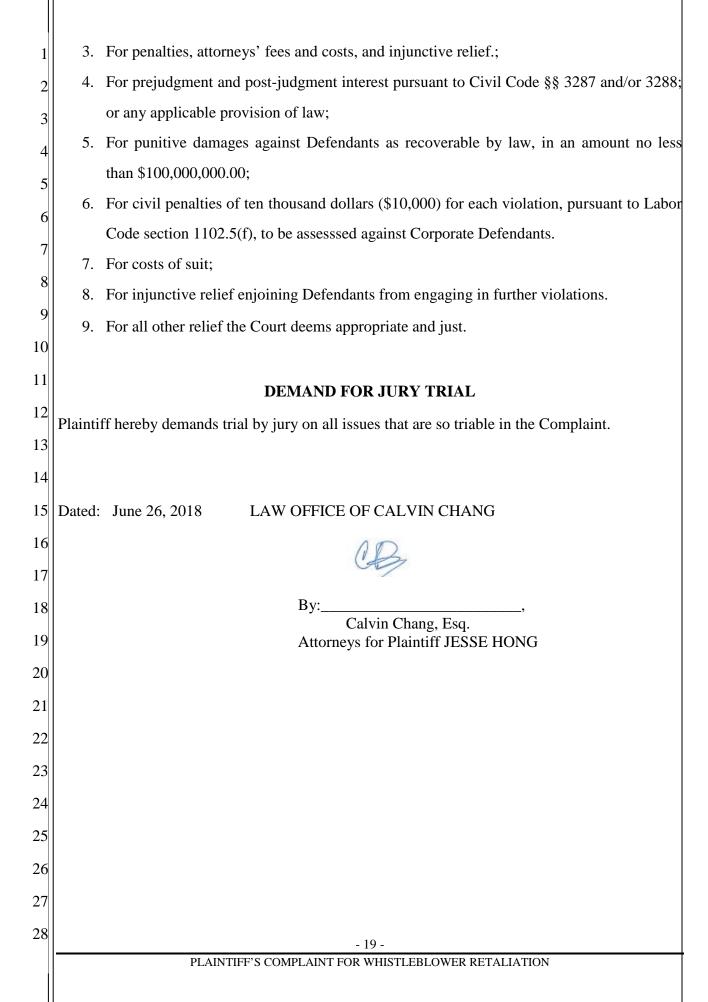
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